Interoperability Specification for ICCs and Personal Computer Systems

Part 3. Supplemental Document for Contactless ICCs

Gemalto

HID Omnikey

NXP Semiconductors

SCM Microsystems Inc.

VASCO Data Security

Revision 2.02.00

April 2010

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Revision History

Revision	Issue Date	Comments
2.00.00	March 29, 2010	First version

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1 Scope

This amendment specifies the functions to transparently pass data from an application to a contactless ICC, return the received data transparently to the application and defines a means of switching the protocol simultaneously. The transparent transceiver and protocol switch function enable any application to handle widely established ISO/IEC 14443 and ISO/IEC 15693 based contactless ICC protocols, mode switches and commands.

This specification uses the IFD command coded with CLA (class) byte equal to FF, as used in PC/SC part 3. The instruction byte equals to C2 indicating a similar functional principle as the ENVELOPE command, described in ISO/IEC 7816-4.

All functions are coded within this single IFD command using P1, P2 combination and the BER-TLV structure. The data filed of C-APDU and R-APDU can contain multiple data objects encoded in TLV structure.

All numbers used in APDU or SW1-SW2 in this document are written in implicit hexadecimal notation (if not mentioned otherwise).

In this specification there can be use cases where the application needs to connect without presence of an ICC, in those cases direct mode shall be used.

As an alternative the communication can be done via Control() as described in §3 of this specification.

This specification doesn’t replace any existing PC/SC specification.

1.1 Applicable Products

All PC/SC compliant IFDs which are supporting PC/SC version 2.02 or higher.

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1.2 Abbreviations

The following table lists abbreviations used throughout this document.

Table 1: Abbreviations

Abbreviation	Meaning
APDU	Application Protocol Data Unit
ATR	Answer To Reset
BER	Basic Encoding Rules of ASN.1 (see ISO/IEC 8825 -1)
C-APDU	Command APDU
CLA	Class byte
ICC	Integrated Circuit Card. In this specification this abbreviation is used for contactless ICC as PC/SC terminology. ISO/IEC 14443 uses “PICC” and ISO/IEC 15693 uses “VICC” for it.
IFD	InterFace Device (reader/writer). In this specification this abbreviation is used for contactless IFD as PC/SC terminology. ISO/IEC 14443 uses “PCD” and ISO/IEC 15693 uses “VCD” for it.
INS	INStruction byte
Lc field	Length field for coding the number of Nc
Le field	Length field for coding the number of Ne
Nc	Number of bytes in the command data field
Ne	Number of bytes expected in the response data field
Nr	Number of bytes in the response data field
P1-P2	Parameter bytes (inserted for clarity, the dash is not significant)
PCD	Proximity Coupling Device
PICC	Proximity Integrated Circuit Card
R-APDU	Response APDU
RFU	Reserved for Future Use
SW1-SW2	Status bytes (inserted for clarity, the dash is not significant)
TLV	Tag, Length, Value
VCD	Vicinity Coupling Device
VICC	Vicinity IC Card

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2 APDU Definition

APDUs are defined in the following section.

2.1 Command APDU

Command APDUs are generated as follows:

CLA	INS	P1	P2	Lc	Data	Le
FF	C2	00	See Table 2	NN	dependent from P2 coding	-- or NN

Table 2: P2 specifies functions

b7	b6	b5	b4	b3	b2	b1	b0	Function	Description
0	0	0	0	0	0	0	0	Manage Session	Used for managing the environment, capabilities and contexts. Manage Session. (See chapter 3)
0	0	0	0	0	0	0	1	Transparent Exchange	Used to exchange bit/bytes between ICC and IFD. (See chapter 4)
0	0	0	0	0	0	1	0	Switch Protocol	Used to switch between different layers. (See chapter 5)
X	X	X	X	X	X	X	X	Other values are RFU	

2.2 Response APDU

Response data field follows the structure:

Data Field BER-TLV encoded	SW1	SW2

The response data field can be empty or can contain more than one data object. This depends on the Tag(s) of the command data field. Every command returns SW1-SW2 together with the response data field (if available). SW1 SW2 is according to ISO 7816. SW1 SW2 from the following C0 data object should also be used.

For more detailed error identification, e.g. in which data object is an error occurred, the PCD return a generic error status data object in the response data field. This data object is encapsulated in the C0 data element.

Tag	Length (1 byte)	Value
C0	03	Error status, see next Table 3

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Table 3: Generic error status

Error Status	Description
XX SW1 SW2	XX = number of the bad data object in the APDU; 00 = general error of APDU; 01 = error in the 1st data object; 02 = error in the 2nd data object; etc.
00 90 00	No error occurred
XX 62 82	Data object XX warning, requested information not available
XX 63 00	No information.
XX 63 01	Execution stooped due to failure in other data object
XX 6A 81	Data object XX not supported
XX 67 00	Data object XX with unexpected length
XX 6A 80	Data object XX with unexpected vale
XX 64 00	Data Object XX execution error (no response from IFD)
XX 64 01	Data Object XX execution error (no response from ICC)
XX 6F 00	Data object XX failed, no precise diagnosis

The first value byte indicates the number of the erroneous data object XX and the last two bytes indicate the explanation of the error. Further SW1 SW2 values, according to ISO 7816, are allowed.

If there are more than one data object in the C-APDU field and one data object failed, IFD can process the following data objects if they do not depend on the failed data objects.

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2.3 Overview of the structure

At first application executes the manage session command to establish a session. Within the manage session command, the application can pass several data objects to set or get different parameters (attributes) for the following commands. If an ICC is present and the state of the IFD / ICC is known, then the application can immediately start communicating at this state using either "Transparent Exchange" command as defined here or standard APDU (as already defined or supported by the ICC) for communication to the ICC.

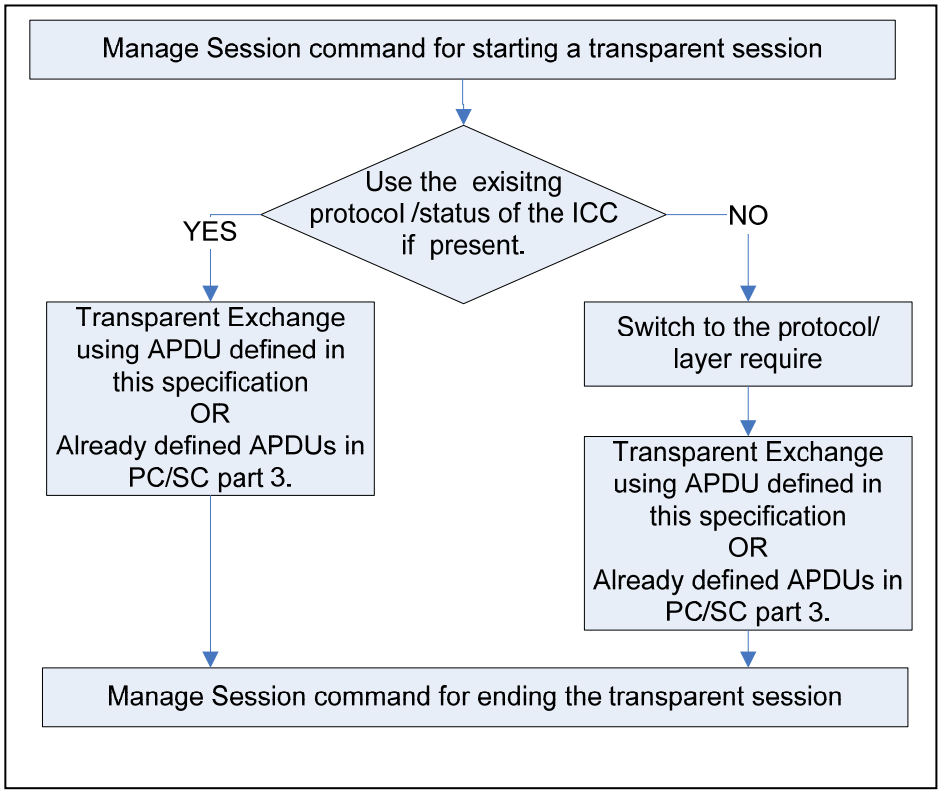

Figure 1: Basic Application Structure using this specification

If an ICC is not present or the state of the ICC and/or IFD is not known, then the application can switch to the protocol/layer before starting the communication. The application can use either "Transparent Exchange" command as defined here or standard APDU (already defined or supported by the ICC) for communication to the ICC.

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3 Communication Method

3.1 General Methods

PC/SC implementations behave differently regarding controlling the IFD and depending on the presence of an ICC.

For this reason this specification does not give a preference for one particular method of communication with an IFD.

Some PC/SC implementations might limit the usage of Transmit() while there might be limitations for others for using Control().

It will be up to the application developer to choose the right method for the actual purposes and environment.

3.2 Using Transmit()

This method can be used in any environment when an ICC is present and the application has established an ICC handle.

Some PC/SC implementations even may allow using this method without an ICC being present. The connection can be done using Connect() in the direct mode.

In any case the APDU to be transmitted is the byte string as described under 2.1 and the return message is the byte string as described under 2.2.

3.3 Using Control()

In environments not allowing Transmit() without an ICC or caused by any other reasons or developers preferences the application can communicate via Control().

The application should retrieve the control code corresponding to FEATURE_CCID_ESC_COMMAND (see part 10, rev.2.02.07). In case this feature is not returned, the application may try SCARD_CTL_CODE(3500) as control code to use.

3.3.1 Function Call for Control()

```
RESPONSECODE Control (
        IN DWORD        ControlCode,
        IN BYTE[]       InBuffer,
        IN OUT BYTE[]   OutBuffer,
        OUT DWORD       OutBufferLength
```

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);

The content of InBuffer is exactly the byte string of the APDU as described under 2.1.
The content of OutBuffer is exactly the byte string of the RPDU as described under 2.2.
The total length of the returned message can be retrieved from the OutBufferLength parameter.

3.3.2 Implementation note for Windows and Linux

Under Windows and Linux[1] the following function shall be used:

```
LONG SCardControl (
    SCARDHANDLE     hCard,
    DWORD           dwControlCode,
    LPCVOID         lpInBuffer,
    DWORD           nInBufferSize,
    LPVOID          lpOutBuffer,
    DWORD           nOutBufferSize,
    LPDWORD         lpBytesReturned
    );
```

The content of lpInBuffer is exactly the byte string of the APDU as described under 2.1.
The content of lpOutBuffer is exactly the byte string of the RPDU as described under 2.2.
The total length of the returned message can be retrieved from the lpBytesReturned parameter of the SCardControl function.

[1] Note: Linux PCSC-Lite use the same function call as Windows

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4 Manage Session Command

Manage session command starts and ends a transparent session, manages the environment and extracts or sets the capabilities of the IFD for the following communication (transparent session). The data field is BER-TLV encoded. The data objects are being interpreted by the IFD in the order of their occurrence. Table 4 shows the manage session command APDU.

Table 4: Manage Session Command APDU

Command	CLA	INS	P1	P2	Lc	Data	Le
MANAGE_SESSION	FF	C2	00	00	Var	See Table 5 for different data objects	-- or var[2]

[2] The Le field interpretation is according to ISO 7816-4.

The data filed shall contain at least one data object. Different data objects are listed in Table 5.

Table 5: Manage Session command – Data Objects

Tag	Data Object
80	Version data object (See clause 4.3)
81	Start Transparent Session (See clause 4.1)
82	End Transparent Session (See clause 4.2)
83	Turn Off RF Field (See clause 4.4)
84	Turn On RF Field (See clause 4.6)
5F46	Timer (See clause 4.5)
FF6D	Get Parameters (See clause 4.7)
FF6E	Set Parameters (See clause 4.8)

For construction of the Response APDU see clause 2.2. For different data objects in the Response APDU see the following Table 6. The Response APDU data filed can be empty or return more then one data object.

Table 6: Manage Session Response – Data Objects

Tag	Data Object
C0	Generic error status (see clause 2.2)
80	Version data object (see clause 4.3)
FF6D	IFD parameter data object according to value data objects in Table 16

The following sections explain the data objects listed in Table 5 and Table 6.

[2] The Le field interpretation is according to ISO 7816-4.

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4.1 Start Transparent Session Data Object

The application shall send this data object to inform the IFD of preparation for a transparent session.

Table 7: Start Transparent Session Data Object – Tag 81

Tag	Length (1 byte)	Value
81	00	-

If the IFD performs autonomous functions, e.g. like polling or tracking, IFD shall stop such autonomous functions and leave the existing ICC in the state at it was in the time of the command received from the application. If “Start Transparent Session” is called, the session must be ended with an “End of Transparent Session” call. If there are several data objects, this data object shall be the first one in the data field. If there is no other data object which returns data, then IFD returns SW1-SW2 only.

4.2 End Transparent Session Data Object

The application shall send this data object to terminate the transparent session. It is required if the application started a transparent session by calling “Start Transparent Session” data object.

Table 8: End Transparent Session Data Object – Tag 82

Tag	Length (1 byte)	Value
82	00	-

This data object shall be ignored if no "Start Transparent Session" was received by the IFD in a previous MANAGE_SESSION command or in a previous data object within this command.

After returning SW1-SW2, the IFD shall start autonomous functions, i.e. tracking (if a card is left in present state) or polling. The IFD shall not change the state or layer of the inserted card after the transparent session. If any parameter is required for tracking (e.g. frame number or CID) then the application must provide it while ending the transparent session. If there are several data objects, then this data object shall be the last one in the data field. If there is no other data object which returns the data, then the IFD returns SW1-SW2 only.

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4.3 Version Data Object

The application can send this data object to get the version number of the IFD handler. In the value field the application can send any version number but the IFD shall return the highest version supported by the IFD. The application will decide whether to proceed with the session or, in case of a mismatch, abandon the session.

Table 9: Version data object - Tag 80

Tag	Length (1 byte)	Value		
80	03	Major	Minor	Build

The version coding is BCD. The Major, Minor and Build number consists of two nibbles for each value. As example, IFD or application compliant to version 1.0 of this specification shall code it as “010000”. (Major version = 01, minor version = 00, build = 00).

4.4 Turn OFF the RF Data Object

This data object is used to turn off the RF field.

Table 10: Turn Off the RF field Data Object – Tag 83

Tag	Length (1 byte)	Value
83	00	-

This data object will only be accepted after the start transparent session command or data object and before the end of a transparent session command or data object. If there is a timer object tag (5F04) between turn off (tag 83) and turn on (tag 84), then IFD keeps the field turned off for the time given in the timer object before turning the field back on. If there is no other data object which returns data, then the IFD returns SW1-SW2 only.

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4.5 Timer Data Object

This data object is used to indicate a time.

Table 11: Timer Data Object – Tag 5F46

Tag	Length (1-byte)	Value
5F46	04	Timer (ULONG).

Timer is a 32-bit unsigned long value defining time in microseconds. It can be used for different purposes where ever necessary. If the timer data object is inserted between two data objects, IFD shall wait for the time mentioned in the timer data object between execution of that two data objects. As example, if there is timer data object with 5000 us between RF turn off data object and RF turn on data object, IFD shall turn off the RF field and turn on after 5 ms. If there is no data object after the timer data object, then IFD shall consider this time for previous data object. As example if a timer data object with value 1000 us is inserted after the transceive data object, the IFD shall wait 1 ms before turning on the receiver after completion of transmit.

4.6 Turn ON the RF Data Object

This data object is sent to turn on the RF filed.

Table 12: Turn on the RF field Data Object – Tag 84

Tag	Length (1-byte)	Value
84	00	-

Turn on data object does not cause the IFD to start any autonomous action to detect or activate an ICC. The RF field remains unmodulated. This command will only be accepted after the start and before the end of a transparent session command or data object. If there is no other data object which returns data, then IFD returns SW1-SW2 only.

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4.7 Get Parameters Data Object

This data object is sent to get different parameters from the IFD. The desired parameters are requested by using the TLV objects defined in the Table 14.

Table 13: Get Parameter Data Object – Tag FF6D

Tag	Length (1 byte)	Value		
		Tag	Length	value
FF6D	var.	Different TLV objects indicated in Table 14		

The responses are also returned in TLV format according to Table 16. If the parameter is not available IFD shall return respected SW1-SW2.

Table 14: Tags for Get Parameters data object

Parameters requested	Tag	Length (1 byte)	Value
Frame size for IFD Integer (FSDI) according to Table 17	01	00	-
Frame size for ICC Integer (FSCI) according to Table 17	02	00	-
Frame waiting Time Integer (FWTI) of the current ICC	03	00	-
Maximum communication speed supported by the IFD	04	00	-
Communication speed of the current ICC	05	00	-
Modulation index currently	06	00	-
PCB for ISO/IEC 14443	07	00	-
CID for ISO/IEC 14443	08	00	-
NAD for ISO/IEC 14443	09	00	-
Param 1 to Param 4 for ISO/IEC 14443 type B.	0A	00	-
Data coding (IFD to ICC) for ISO/IEC 15693	0B	00	-
Other values		RFU	

In order to optimize the performance the “Get Parameters” data object can be used in “Switch Protocol” command APDU (see chapter 6) as well.

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4.8 Set Parameters Data Object

This data object is sent to set the parameters for the current session or while leaving the session. The parameters shall be set, are given by TLV objects described in Table 16.

Table 15: Set Parameter Data Object – Tag FF6E

Tag	Length (1 byte)	Value		
		Tag	Length	Value
FF6E	var.	According to Table 16		

Table 16: Tag for Parameters with Value data object

Tag	Length (1 byte)	Value
01	01	Frame size for IFD Integer (FSDI) according to Table 17
02	01	Frame size for ICC Integer (FSCI) according to Table 17
03	01	Frame waiting Time Integer (FWTI) of the current ICC, Time = 302.07 x 2^{FWTI} microseconds.
04	01	Maximum communication speed supported by the IFD according to Table 18
05	01	Communication speed is set or will be set for the current ICC according to Table 18.
06	01	Modulation index (can be used for all ICCs, where modulation index is required)
07	01	PCB for ISO/IEC 14443
08	01	CID for ISO/IEC 14443
09	01	NAD for ISO/IEC 14443
0A	04	Param 1 to Param 4 for ISO/IEC 14443 type B.
0B	01	Data coding (IFD to ICC) for ISO/IEC 15693
Other values	RFU	

Table 17: coding of buffer size

FSCI/FSDI	0	1	2	3	4	5	6	7	8	>8
Frame size	16	24	32	40	48	64	96	128	256	RFU

Table 18: coding of communication speed

b7	b6	b5	b4	b3	b2	b1	b0
0 = Different speed for each direction supported. 1 = Only the same speed for both directions supported.	RFU set to 0	RFU set to 0	Communication speed ICC to IFD. For ISO/IEC 14443. 01 = 212 kbps 10 = 424 kbps 11 = 848 kbps		RFU set to 0	Communication speed IFD to ICC. For ISO/IEC 14443. 01 = 212 kbps 10 = 424 kbps 11 = 848 kbps	

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If there are several parameters in the command data filed, IFD shall consider the parameters which are supported and ignore the rest. In this case IFD shall return the respected SW1-SW2.

The application may then repeat the command with single data objects to figure out the non-supported value or object.

In order to optimize the performance the “Set Parameters” data object can be used in “Switch Protocol” command APDU (see chapter6) as well.

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5 Transparent Exchange Command

Transparent exchange command transmits and receives any bit or bytes from ICC; IFD is just a transparent channel. The data field is BER-TLV encoded. The data objects are being interpreted by the IFD in the order of their occurrence. The data field shall contain at least one data object.

Table 19: Transparent Exchange Command APDU

Command	CLA	INS	P1	P2	Lc	Data	Le
TRANSPARENT _EXCHANGE	FF	C2	00	01	var	see Table 20	-- or var[3]

[3] The Le field interpretation is according to ISO 7816-4.

Table 20: Transparent Exchange Command – Different Data Objects

Tag	Data Object
90	Transmission and Reception Flag (See clause 5.1)
91	Transmission Bit framing (See clause 5.2)
92	Reception Bit framing (See clause 5.3)
93	Transmit (See clause 5.4)
94	Receive (See clause 5.5)
95	Transceive -Transmit and Receive (See clause 5.6)
FF6D	Get Parameters (See clause 4.7)
FF6E	Set Parameters (See clause 4.8)

For construction of the Response APDU see clause 2.2. For different data objects in the Response APDU see the following Table 21. The Response APDU data filed can be empty or return more than one data object.

Table 21: Transparent Exchange Response – Data Objects

Tag	Data Object
C0	Generic error status (see clause 2.2)
92	Number of valid bits in the last byte of received data (see5.3)
96	Response Status (See clause 5.7)
97	ICC Response (See clause 5.8)
FF6D	IFD parameter data object according to value data objects in Table 16

The following sections explain the data objects listed in Table 20 and Table 21.

[3] The Le field interpretation is according to ISO 7816-4

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5.1 Transmission and Reception Flag Data Object

This data object defines the framing and RF parameters for the following transmission. If this data object is not present in the command data field, then the framing shall be set by the last command or according to the existing protocol. (It is not required to send this data object in every command).

Table 22: Transmission and Reception (Tx/Rx) Flag Data Object – Tag 90

Tag	Length (1 byte)	Value (b15 b14 b13 b12 b11 b10 b9 b8 b7 b6 b5 b4 b3 b2 b1 b0)	
		bit	Description
90	02	0	0 – Append CRC in the transmit data according to existing protocol or protocol switched to. 1 – Do NOT append CRC in the transmit data.
		1	0 – Discard CRC from the received data according to existing protocol or protocol switched to. 1 – Do NOT discard CRC from the received data.
		2	0 – Insert parity in transmit data as defined in the existing protocol or protocol switched to. 1 – Do NOT insert parity
		3	0 – Expect parity in received data as defined in the existing protocol or protocol switched to. 1 – Do NOT expect parity
		4	0 - Append protocol prologue in the transmit data or discard from the response if any. 1- Do NOT append or discard protocol prologue if any. (E.g. for ISO/IEC 14443 PCB and CID, NAD).
		5	RFU
		6	0 – Bit 7..15 RFU, has to be set to 0 1 – Bit 7..15 provide vendor/chip specific information
		7	0 – Follow the flag (as given in bit 8...15). 1 – Expected answer has 2xdata rate mentioned in flag (vendor specific).
		8..15	Flag byte in case of ISO/IEC 15693 (if require for other cases can be defined).

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5.2 Transmission Bit Framing Data Object

This data object defines the number of valid bits of the last byte of data in the transmit (tag 93) or transceive (95) data object value field.

Table 23: Transmission (TX) Bit Framing Data Object – Tag 91

Tag	Length (1 byte)	Value	
		bit	Description
91	01	0-2	Number of valid bits of the last byte (0 means all bits are valid).
		3-7	RFU; shall be set to 0

If this data object is absent, then all bits are valid. This data object shall be together with "Transmit" or "Transceive" data object only.

5.3 Reception Bit Framing Data Object

This data object can be used in the C-APDU and in the R-APDU. If in the C-APDU, this data object defines the number of expected valid bits of the last byte of data received. If it is in the R-APDU, then it tells the number of valid bits in the last byte of received data.

Table 24: Reception (RX) Bit Framing Data Object – Tag 92

Tag	Length (1 byte)	Value	
		bit	Description
92	01	0-2	Number of valid bits of the last byte (0 means all bits are valid).
		3-7	RFU; shall be set to 0

If this data object is absent, then all bits are valid.

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5.4 Transmit Data Object

This data object contains data to be transmitted from the IFD to the ICC.

Table 25: Transmit data object – Tag 93

Tag	Length[4]	Value
93	variable	Data to be transmitted

No response is expected from the ICC after the completion of transmit. If a timer data object is just following transmit data object, then IFD shall wait until the time given before executing next data object.

Timer data object is explained in clause 4.5.

5.5 Receive Data Object

The data object is used to force the IFD into receiving mode. Before this data object, there can be a transmit data object.

Table 26: Receive data object – Tag 94

Tag	Length (1 byte)	Value
94	00	-

IFD turns on its receiver and waits until the time, given in timer data object just following receive data object.

Timer data object is explained in clause 4.5.

5.6 Transceive Data Object

This data object is used to transmit and receive data from the ICC.

Table 27: Transceive data object – Tag 95

Tag	Length[4]	Value
95	variable	Data to be transmitted

The IFD shall transmit the data and then shall turn on its receiver and wait until the time given in timer data object.

If no timer data object was defined in the data field then the IFD waits until the time given in the Set Parameter FWTI data object. If no FWTI is set the IFD waits a minimum time of 302µs (equals FWTI = 0).

[4] Length shall be interpreted according to BER-TLV

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The response data will be encapsulated in a simple data object with tag 0x97. If the received data are not modulo 8 data object 0x92 will be returned. If the receiver detects errors during receive a data object 0x96 will be returned.

Timer data object is explained in clause 4.5.

5.7 Response Status Data Object

This data object can be in the R-APDU together with the response.

Table 28: Response Status data object – Tag 96

Tag	Length (1 byte)	Value		
		Byte 0		Byte 1
96	02	Bit 0	0 – CRC is OK (or not checked) 1 – CRC check failed	If bit 1of byte 0 is set to 1, then collision position else RFU.
		Bit 1	0 – No Collision detected 1 – Collision detected (byte 1 tells the collision position).	
		Bit 2	0 – no parity error detected 1 – parity error detected	
		Bit 3	0 – no framing error detected 1 – framing error detected (e.g. SOF incorrect)	
		Bit 4..7	RFU	

This data object can be absent in the response if there is no error (mentioned in byte 0) occurred in the reception.

5.8 Response Data Object

The response from the ICC is returned in a TLV data object.

Table 29: Response data object – Tag 97

Tag	Length[5]	Value
97	Variable	Reply from the ICC

[5] Length shall be interpreted according to BER-TLV.

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6 Switch Protocol Command

This command switches the specific protocol and different layers of the standard. The application can use the switched protocol for exchanging the application data using standard APDU (if exists) or "Transparent Exchange" command APDU explained in chapter 4. Switch Protocol command is only allowed in a transparent session (after calling "Start Transparent Session" data object and before calling "End Transparent Session" data object using Manage Session command). IFD shall ignore "switch protocol command" if it is called outside a transparent session.

Table 30: Switch Protocol Command APDU

Command	CLA	INS	P1	P2	Lc	Data	Le
SWITCH_ PROTOCOL	FF	C2	00	02	var	See Table 31	-- or var[6]

The switch protocol APDU uses the Tag 8F. The length field is fixed. The value field of this data object then defines the protocol to switch on.

Table 31: Switch Protocol Command – Different Data Objects

Tag	Data Object
8F	Switch protocol Data Object (See clause 6.1)
FF6D	Get Parameters (see clause 4.7)
FF6E	Set Parameters (see clause 4.8)

For construction of the Response APDU see clause 2.2. For different data objects in the Response APDU see the following Table 32. The Response APDU data filed can be empty or return more then one data object.

Table 32: Manage Session Response – Data Objects

Tag	Data Object
C0	Generic error status (see clause 2.2)
FF6D	IFD parameter data object according to value data objects in Table 16

The following sections explain the data objects listed in Table 31.

[6] The Le field interpretation is according to ISO 7816-4

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6.1 Switch protocol Data Object

Table 33: Switch Protocol Data Object Options

Tag	Length (1 byte)	Value	
		Byte 0	**Byte 1**
8F	02	Defines the standard type 00 - ISO/IEC 14443 type A 01 - ISO/IEC 14443 type B 02 - ISO/IEC 15693 03 – Felica 04 – ICODE-EPC/UID 05 – ICODE -1 06 – HF EPC G2/ISO18000-3 Mode 3 07 - Innovatron Other values RFU	Defines the layer to switch 00 – if no layer separation 02 – Switch to layer 2 03 – Switch or activate up to layer 3 04 – Activate up to layer 4 2X – Switch to layer 2, X defines the proprietary protocol type, can be vendor specific. Not mandatory. 3X – Switch (activate) to layer 3, X defines the proprietary protocol type, can be vendor specific. Not mandatory. 4x – switch to high level protocol: 40 = ISO/IEC 7816-4 All other RFU Other values are RFU.

Clause 6.2 shows an example flow chart of switching between ISO/IEC 14443 layer 3 and 4. Switch protocol data object for different protocol and layer is given in the annex.

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6.2 Switch Protocol Command example between ISO/IEC 14443-3 and ISO/IEC 14443-4.

IFD shall re-activate the present ICC up to the layer given in the data object. IFD shall switch to ISO/IEC 14443-3/4 using its standard activation sequence. If parameters or attributes are provided using the set parameter data object, IFD shall use those parameters, if not, IFD shall use the standard/or its default parameters.

If reactivation requires resetting of ICC, IFD shall perform that function (e.g. DESELECT/HALT, WUPA/WUPB, ANTICOLLISION/SLOTMARKER, SELECT/ATTRIB, RATS, PPS). Application can use either standard APDUs supported by the ICC or transparent exchange APDU as defined in chapter 4.

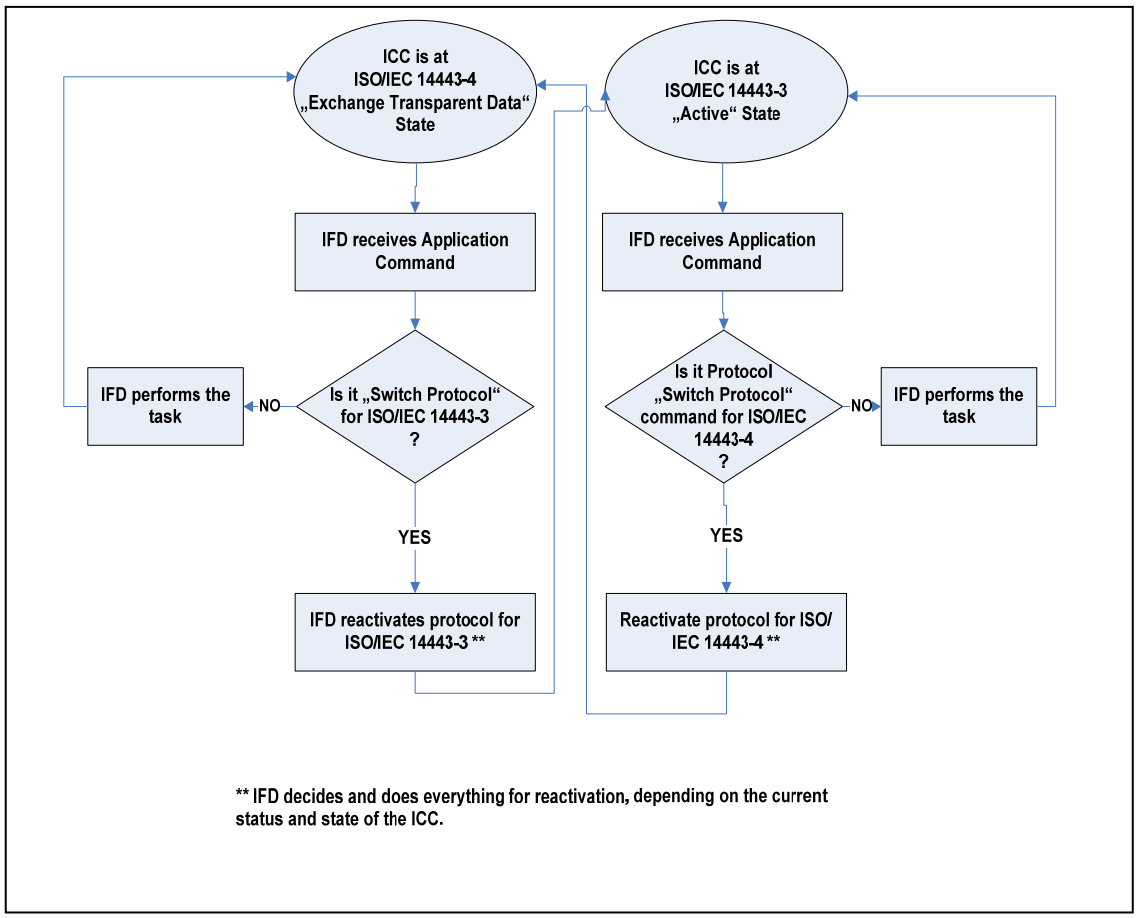

Figure 2: Switch Protocol example for ISO/IEC 14443 ICCs

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7 References

The following table lists references used throughout this document**.**

Table 34: References

[PC/SC]	Interoperability Specification for ICCs and Personal Computer Systems © 1996–2009 PC/SC Workgroup, Revision 2.01.7, July 2009
[ISO/IEC 14443]	Identification cards – Contactless integrated circuit(s) cards – Proximity cards – Part 3: Initialization and anti-collision / 2001-02-01 – Part 4: transmission protocol / 2001-02-01
[ISO/IEC 7816]	Information technology – Identification cards – Integrated circuit(s) cards with contacts – Part 4: Inter-industry commands for interchange / 2005-01-15 – Part 6: Inter-industry data elements for interchange / 2003-01-17
[ISO/IEC 8825-1]	Information technology -- ASN.1 encoding rules: Specification of Basic Encoding Rules (BER), Canonical Encoding Rules (CER) and Distinguished Encoding Rules (DER)

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8 Annex

8.1 Switch Protocol command examples

8.1.1 Switch to ISO/IEC 14443-2 Type A

Table 35: Switch to ISO/IEC 14443-2 Type A Data Object

Tag	Length (1 byte)	Value	
		Byte 1	Byte 2
8F	02	00	02

This data object prepares the IFD for an ISO/IEC 14443 Type A framing according to ISO/IEC 14443-2:2002 clause 8.

8.1.2 Switch to ISO14443-3 type A data object

Table 36: Switch to ISO/IEC 14443-3 Type A Data Object

Tag	Length (1 byte)	Value	
		Byte 1	Byte 2
8F	02	00	03

This data object activates the ISO/IEC 14443 Type A ICC in layer 3. This data object is processed by the IFD by performing a DESELECT, WUPA, SELECT if the ICC is in layer 4. Response of this data object shall be according to the following table:

Table 37: R-APDU of Switch to ISO/IEC 14443-3 Type A Command

Tag	Length (1 byte)	Value
8F	01	Final SAK

Note: For obtaining the UID, PC/SC supports the “Get Data” command (see part 3 chapter 3.2.2.1.3).

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8.1.3 Switch to ISO14443-4 Type A data object

Table 38: Switch to ISO/IEC 14443-4 Type A Data Object

Tag	Length	Value	
	(1 byte)	Byte 1	Byte 2
8F	02	00	04

This data object is used to (re)activate an ISO/IEC 14443 Type A ICC in layer 4 independent of the SAK. This data object is processed by the IFD by performing a HALT, WUPA, SELECT, RATS, and PPS if the ICC is in layer 3.

IFD shall use the parameters as provided in the manage session command. If not provided, IFD shall use its standard/default values.

After switching to ISO/IEC 14443-4, the application can use either standard APDU (existing or defined) as supported by the ICC or Transparent Exchange command APDU as described in chapter 4. Mixing of both in the same transparent session is not allowed. If Transparent Exchange is not used (CLA ≠ FF), IFD shall handle the entire protocol (T=CL).

Response shall be the related number of bytes of the ATR according to PC/SC part 3 clause 3.1.3.2.3.1 encapsulated in an Answer-to-reset data element according to ISO/IEC 7816-6 clause 9 (5F51).

Note: For obtaining the Historical Bytes or the UID, PC/SC supports the “Get Data” command (see part 3 chapter 3.2.2.1.3).

8.1.4 Switch to ISO/IEC 14443-2 Type B data object

Table 39: Switch to ISO/IEC 14443-2 Type B Data Object

Tag	Length	Value	
	(1 byte)	Byte 1	Byte 2
8F	02	01	02

This data object prepares the IFD for an ISO/IEC 14443 Type B framing according to ISO/IEC 14443-2:2002 clause 9.

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8.1.5 Switch to ISO/IEC 14443-3 Type B data object

Table 40: Switch to ISO/IEC 14443-3 Type B Data Object

Tag	Length (1 byte)	Value	
		Byte 1	Byte 2
8F	02	01	03

This data object activates the ISO/IEC 14443 Type B ICC in layer 3. This data object is processed by the IFD by performing a DESELECT, WUPB if the ICC is in layer 4.

The response shall be according to the following table:

Table 41: R-APDU of Switch to ISO/IEC 14443-3 Type B Command

Tag	Length (1 byte)	Value		
8F	03	Protocol info 1st byte	Protocol info 2nd byte	Protocol info 3rd byte

Note: For obtaining the UID, PC/SC supports the “Get Data” command (see part 3 chapter 3.2.2.1.3).

8.1.6 Switch to ISO/IEC 14443-4 Type B data object

Table 42: Switch to ISO/IEC 14443-4 Type B Data Object

Tag	Length (1 byte)	Value	
		Byte 1	Byte 2
8F	02	01	04

This data object is used to (re)activate an ISO/IEC 14443 Type B ICC in layer 4 independent of the protocol info. This data object is processed by IFD performing a HALT, WUPB, and ATTRIB if the ICC is in layer 3.

IFD shall use the parameters as provided in the manage session command. If not provided, IFD shall use its standard/default values.

After switching to ISO/IEC 14443-4, the application can use either standard APDU (existing or defined) as supported by the ICC or Transparent Exchange command APDU as described in chapter 4. Mixing of both in the same transparent session is not allowed. If Transparent Exchange is not used (CLA ≠ FF), IFD shall handle the entire protocol (T=CL).

The response shall be the related number of bytes of the ATR according to PC/SC part 3 clause 3.1.3.2.3.1 encapsulated in an Answer-to-reset data element according to ISO/IEC 7816-6 clause 9 (5F51).

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Note: For obtaining the Historical Bytes or the UID PC/SC supports the “Get Data” command (see part 3 chapter 3.2.2.1.3).

8.1.7 Switch to ISO/IEC 15693-2 Data Object

Table 43: Switch to ISO/IEC 15693-2 Data Object

Tag	Length	Value	
	(1 byte)	Byte 1	Byte 2
8F	02	02	02

This data object prepare the IFD for an ISO/IEC 15693 framing according to ISO/IEC 15693-2:2006 clause 6 - 8. It uses the parameters given in the manage session command. This data object is allowed only in transparent session.

8.1.8 Switch to ISO/IEC 15693-3 Data Object

Table 44: Switch to ISO/IEC 15693-3 Data Object

Tag	Length	Value	
	(1 byte)	Byte 1	Byte 2
8F	02	02	03

This data object activates the ISO/IEC 15693 ICC in layer 3. The IFD returns only SW1 and SW2.

Note: For obtaining the UID PC/SC supports the “Get Data” command (see part 3 chapter 3.2.2.1.3).

8.1.9 Switch to SONY FeliCa Framing

Table 45: Switch to FeliCa Framing Data Object

Tag	Length	Value	
	(1 byte)	Byte 1	Byte 2
8F	02	03	00

This data object prepares the IFD for a FeliCa framing.

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8.1.10 Switch to SONY FeliCa ICC activation

Table 46: Switch to SONY Felica ICC activation Data Object

Tag	Length (1 byte)	Value	
		Byte 1	Byte 2
8F	02	03	01

This data object activates a SONY FeliCa ICC.

The IFD returns only SW1 and SW2.

Note: For obtaining the UID PC/SC supports the “Get Data” command (see part 3 chapter 3.2.2.1.3).

8.1.11 Switch to I-CODE EPC/UID

Table 47: Switch to I-CODE EPC/UID Data Object

Tag	Length (1 byte)	Value	
		Byte 1	Byte 2
8F	02	04	00

This data object prepares the IFD for ICODE EPC/UID communication.

The IFD returns only SW1 and SW2.

8.1.12 Switch to I-CODE 1

Table 48: Switch to I-CODE 1 Data Object

Tag	Length (1 byte)	Value	
		Byte 1	Byte 2
8F	02	05	00

This data object prepares the IFD for ICODE 1 communication. The IFD returns only SW1 and SW2.

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8.1.13 Switch to HF EPC G2/ISO18000-3 Mode 3

Table 49: Switch to I-CODE 1 Data Object

Tag	Length (1 byte)	Value	
		Byte 1	Byte 2
8F	02	06	00

This data object prepares the IFD for HF EPC G2/ISO 18000-3 Mode 3 communication. The IFD returns only SW1 and SW2.

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8.2 APDU sample for Transmit()

The following Windows sample demonstrates how to change to ISO14443 type A protocol layer 4 and execute a card polling with NAK method.

(1) Connect without card presence:

```
DWORD dwShareMode = SCARD_SHARE_DIRECT;
DWORD dwPreferredProtocols = 0;

LONG WINAPI SCardConnect(
  in   SCARDCONTEXT hContext,
  in   LPCTSTR szReader,
  in   DWORD dwShareMode,
  in   DWORD dwPreferredProtocols,
  out  LPSCARDHANDLE phCard,
  out  LPDWORD pdwActiveProtocol
);
```

Transmit APDU with CLA = FF and INS = C2:

```
LPCSCARD_IO_REQUEST pioSendPci = SCARD_PCI_RAW;    // RAM protocols
LPSCARD_IO_REQUEST pioRecvPci  = NULL;

LONG WINAPI SCardTransmit(
  in          SCARDHANDLE hCard,
  in          LPCSCARD_IO_REQUEST pioSendPci,
  in          LPCBYTE pbSendBuffer,
  in          DWORD cbSendLength,
  inout_opt   LPSCARD_IO_REQUEST pioRecvPci,
  out         LPBYTE pbRecvBuffer,
  inout       LPDWORD pcbRecvLength
);
```

(2) Manage Session (start session and get version)
SCardTransmit → `pbSendBuffer: FFC20000078003010000810000`

```
FF C2 00 00               // CLA INS P1 P2 (Manage session)
07                        // Lc
80 03 01 00 00            // Version data object
81 00                     // Start session data object
00                        // Le
```

← `pbRecvBuffer: C003009000800301010090 00`

```
C0 03 00 90 00            // Error status data object, no error
80 03 01 00 14            // supported version = 01.00.14
90 00                     // ISO 7816 SW1SW2
```

(3) Put ISO 14443-4 type A card an the reader and activate ISO14443 A part 4
SCardTransmit → `pbSendBuffer: FFC2000212FF6E060501000801018F020004 FF6D02030000`

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```
FF C2 00 02               // CLA INS P1 P2 (Switch protocol)
12                        // Lc
FF 6E 06                  // Set parameter data object
      05 01 00            // Baud rate = 106 kbps
      08 01 01            // CID = 01
8F 02 00 04               // Protocol data object ISO14443 A part 4
FF 6D 02                  // Get parameter data object
      03 00               // FWTI
00                        // Le

               ← pbRecvBuffer: C003009000FFFD0303010A9000

C0 03 00 90 00            // Error status data object, no error
FF FD 03                  // Parameter data object
      03 01 0A            // FWTI = 10d → 309248µs
90 00                     // ISO 7816 SW1SW2
```

(4) Polling with NAK method

SCardTransmit → `pbSendBuffer: FFC200010B5F4604F0BA04009502BA0100`

```
FF C2 00 01               // CLA INS P1 P2 (transparent exchange)
0B                        // Lc
5F 46 04 F0 BA 04 00      // Timer data object FWT = 310000µs
95 02 BA 01               // Transceive data object R(NAK) + CID
00                        // Le

               ← pbRecvBuffer: C003009000920100960100970 2AA019000

C0 03 00 90 00            // Error status data object, no error
92 01 00                  // Bit framing data object, no last bits
96 01 00                  // Response status data object, no errors
97 02 AA 01               // Response data object, R(ACK) + CID
90 00                     // ISO 7816 SW1SW2
```

SCardTransmit → `pbSendBuffer: FFC200010B5F4604F0BA04009502BA0100`

```
FF C2 00 01               // CLA INS P1 P2 (transparent exchange)
0B                        // Lc
5F 46 04 F0 BA 04 00      // Timer data object FWT = 310000µs
95 02 BA 01               // Transceive data object R(NAK) + CID
00                        // Le

               ← pbRecvBuffer: C0030264019000

C0 03 02 64 01            // Error status → no response from ICC
90 00                     // ISO 7816 SW1SW2
```

(5) Card is removed, end of transparent session

SCardTransmit → `pbSendBuffer: FFC2000002810000`

```
FF C2 00 00               // CLA INS P1 P2 (Manage session)
02                        // Lc
82 00                     // End session data object
00                        // Le
```

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```
                ← pbRecvBuffer: C0030090009000

C0 03 00 90 00            // Error status data object, no error
90 00                     // ISO
```

(6) Disconnect

```
DWORD dwDisposition = SCARD_LEAVE_CARD

LONG WINAPI SCardDisconnect(
  in  SCARDHANDLE hCard,
  in  DWORD dwDisposition
);
```

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